

General information about company	
Scrip code	513369
NSE Symbol	NOT APPLICABLE
MSEI Symbol	NOT APPLICABLE
ISIN	INE013J01016
Name of the entity	RAJKUMAR FORGE LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Yearly
Date of Report	31-03-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory												Textual Information(1)				
Whether the listed entity has a Regular Chairperson												No				
Whether Chairperson is related to MD or CEO												No				
ame of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
HNAKUMAR AL	ADKPJ8606J	00121523	Non-Executive - Non Independent Director	Not Applicable		11-11-1960	NA		11-02-2020			2	1	0	1	0
RAJORE	ADEPR1140H	01802633	Executive Director	Not Applicable		09-05-1961	NA		01-12-2016			40	1	0	2	0
NLAL GOEL	ABJPG4245P	07663394	Non-Executive - Independent Director	Not Applicable		09-02-1962	NA		01-12-2016			40	1	1	0	2
IA HANAM	ADEPS5566J	06579108	Non-Executive - Independent Director	Not Applicable		28-05-1959	NA		15-05-2017			35	1	1	1	0

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)
5	Mr	ARUN KRISHNAKUMAR JINDAL	ADKPJ8606J	00121523	Non-Executive - Non Independent Director	Chairperson related to Promoter		11-11-1960	NA		01-12-2016		11-01-2020	37	1	0

Text Block

Textual Information(1)	<p>The Company had received letter on January 11, 2020 from Mr. Arun Jindal, (DIN : 00121523) Chairman and Non-Executive Director of the Company intimating that ROC vide its list of disqualified directors, has disqualified Mr. Jindal under the provisions of Section 164(2)(a) of Companies Act, 2013 owing to non-filing of financial statements or annual returns for continuous period of three financial years of Western Metal Forge (Chakan) Private Limited (CIN : U28910MH1989PTC052677). That letter also stated that Ministry has wrongly / erroneously disqualified Mr. Jindal, since this disqualification has been incurred with respect to the Company which was under the process of striking off from May, 2008 and Annual Filing is neither allowed nor required for the Company whose status is Under Process of Striking Off.</p> <p>The above facts were also given as note in the Corporate Governance Report submitted by the Company for the quarter ended December 31, 2019.</p> <p>Subsequently, Mr. Arun Jindal informed the Company that his DIN has been reactivated by Ministry and as such he is eligible to act as Director.</p> <p>Mr. Arun Jindal being eligible to be appointed as Director, Company in its Board Meeting dated February 11, 2020 appointed Mr. Jindal as Additional Director of the Company subject to approval of members at the ensuing Annual General Meeting.</p> <p>The Company has made necessary disclosures to BSE.</p>
------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Textual Information(1)

Annexure 1 Text Block

Textual Information(1)

The Committees of the Board were re-constituted considering the appointment of Mr. Arun Jindal on the Board.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07663394	RATANLAL GOEL	Non-Executive - Independent Director	Chairperson	01-12-2016		
2	06579108	SUDHA SANTHANAM	Non-Executive - Independent Director	Member	15-05-2017		
3	01802633	NITIN RAJORE	Executive Director	Member	01-12-2016		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07663394	RATANLAL GOEL	Non-Executive - Independent Director	Chairperson	01-12-2016		
2	06579108	SUDHA SANTHANAM	Non-Executive - Independent Director	Member	15-05-2017		
3	00121523	ARUN KRISHNAKUMAR JINDAL	Non-Executive - Non Independent Director	Member	11-02-2020		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07663394	RATANLAL GOEL	Non-Executive - Independent Director	Chairperson	01-12-2016		
2	00121523	ARUN KRISHNAKUMAR JINDAL	Non-Executive - Non Independent Director	Member	11-02-2020		
3	01802633	NITIN RAJORE	Executive Director	Member	01-12-2016		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00121523	ARUN KRISHNAKUMAR JINDAL	Share Transfer Committee	Non-Executive - Non Independent Director	Chairperson	
2	01802633	NITIN RAJORE	Share Transfer Committee	Executive Director	Member	
3	00121523	ARUN KRISHNAKUMAR JINDAL	Committee for issue of Duplicate Share Certificate	Non-Executive - Non Independent Director	Chairperson	
4	01802633	NITIN RAJORE	Committee for issue of Duplicate Share Certificate	Executive Director	Member	

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	09-11-2019				Yes	3	2
2		11-02-2020	93		Yes	3	2

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	09-11-2019				Yes	3	2
2	Audit Committee	07-02-2020	89			Yes	3	2
3	Nomination and remuneration committee	07-02-2020				Yes	2	2
4	Stakeholders Relationship Committee	09-11-2019				No	3	1
5	Stakeholders Relationship Committee	07-02-2020	89			Yes	2	1

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	SHRUTI PATIL
2	Designation	Company Secretary and Compliance Officer

Annexure II**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://rkforge.in/about-us/
2	Terms and conditions of appointment of independent directors	Yes		https://rkforge.in/wp-content/uploads/2019/12/Appointment-Letter-of-Independent-Director.pdf
3	Composition of various committees of board of directors	Yes		https://rkforge.in/committee/
4	Code of conduct of board of directors and senior management personnel	Yes		https://rkforge.in/wp-content/uploads/2019/12/Revised-Code-of-Conduct.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://rkforge.in/wp-content/uploads/2019/12/WHISEL-BLOWER-POLICY-1.pdf
6	Criteria of making payments to non-executive directors	Yes		https://rkforge.in/wp-content/uploads/2019/12/Remuneration-Policy.pdf
7	Policy on dealing with related party transactions	Yes		https://rkforge.in/wp-content/uploads/2019/12/RELATED-PARTY-TRANSACTION-POLICY.pdf
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		https://rkforge.in/wp-content/uploads/2019/12/FAMILIRIZATION.pdf

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://rkforge.in/shareholders-information/
11	email address for grievance redressal and other relevant details	Yes		https://rkforge.in/shareholders-information/
12	Financial results	Yes		https://rkforge.in/financial-fillings/
13	Shareholding pattern	Yes		https://rkforge.in/shareholders-information/
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		https://rkforge.in/shareholders-information/
18	Credit rating or revision in credit rating obtained	Yes		https://rkforge.in/shareholders-information/
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://rkforge.in/investor-relations/
21	Materiality Policy as per Regulation 30	Yes		https://rkforge.in/wp-content/uploads/2019/12/Materiality_of_Event_or_Information_Policy_revised.pdf
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		

23	It is certified that these contents on the website of the listed entity are correct	Yes		https://rkforge.in/
----	-------------------------------------------------------------------------------------	-----	--	-------------------------------------------------------

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II

1	Name of signatory	SHRUTI PATIL
2	Designation	Company Secretary and Compliance Officer

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

Annexure II

1	Name of signatory	SHRUTI PATIL
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	SHRUTI PATIL
Designation of person	Company Secretary and Compliance Officer
Place	PUNE
Date	17-04-2020

